

भारत सरकार GOVERNMENT OF INDIA भारी उद्योग एवं लोक उद्यम मंत्रालय MINISTRY OF HEAVY INDUSTRIES & PUBLIC **ENTERPRISES**

भारी उदयोग विभाग

DEPARTMENT OF HEAVY INDUSTRY

MOST IMMEDIATE

उदयोग भवन Udyog Bhawan नई दिलली -110011 New Delhi-110011

No. 21(48)/NAB/DIDM/FAME/PHASE-II/2019

Dated the

22nd March, 2019

To,

1. Shri Vishnu Mathur, DG, SIAM, Core 4B 5th Floor, Habitat Centre, Lodhi Road, New Delhi-110003

2. Shri Sohinder Gill, Director, SMEV. 50, Okhla Industrial Estate-III New Delhi-110020

Subject: Operational Guidelines for Delivery of Demand Incentive under FAME India Scheme: Phase - II - regarding

Dear Sir,

I am directed to forward herewith Operational Guidelines for Delivery of Demand Incentive under FAME India Scheme: Phase - II which has recently been notified by the Govt. of India, Department of Heavy Industry vide Notification No. S.O. 1300 (E) dated 8th March, These may please be given wide publicity and may be circulated to all 2019. concerned/stakeholders

2. This issue with the approval of competent authority.

> (Ajay Kumar Gaur Under Secretary to the Govt. of India

Tel: 011-23061340

Copy to:

- 1. NIC(DHI) for uploading the same on the website of DHI; and
- 2. M/s. NetCreativeMind Solutions Pvt. Ltd., New Delhi for uploading on the website of FAME-India Scheme (Phase-II).

OPERATIONAL GUIDELINES FOR DELIVERY OF DEMAND INCENTIVES UNDER FAME INDIA SCHEME PHASE - II

1.0 <u>INTRODUCTION</u>:

- 1.1 The Government of India has notified the 'Faster Adoption and Manufacturing of Electric Vehicles in India Phase-II (FAME India Scheme Phase-II) for promotion of electric mobility in the country.
- 1.2 The Phase-II of FAME-India Scheme will be implemented during the next three (3) years i.e. from the FY 2019-20 to FY 2021-22.
- 1.3 The Phase-II of the FAME-India Scheme is proposed to be implemented through the following verticals:
 - i. Demand Incentives.
 - ii. Establishment of network of charging stations; and
 - iii. Administration of the Scheme including Publicity, IEC (Information, Education & Communication) activities.

2.0 <u>DEMAND INCENTIVE</u>: General Information

- 2.1 The Demand Incentives are important components of the Scheme which directly help in demand generation of electric vehicles (e-vehicles).
- 2.2 The following categories of Vehicles will be eligible for Demand Incentives-
 - a. Buses (Only Electric Buses)(e-Bus);
 - b. Four Wheelers (Electric, Plug in Hybrid and Strong Hybrid) (e-4W);
 - c. Three Wheelers (Electric)- e-3 including Registered E-Rickshaws / e-Carts;
 - d. Two wheelers (Electric) e-2W
- In the e-3W, e-4W and e-Bus segments, incentives will be applicable mainly to vehicles used for PUBLIC transport or those registered for COMMERCIAL purposes. However for e-2W segment, incentives are also applicable for privately owned vehicles in addition to those used for public transport or for commercial purposes.

- 2.4 All the vehicles need to be registered under CMVR, 1989 for becoming eligible for reimbursement of demand incentives.
- 2.5 Vehicles which comply with the FAME India Phase-II eligibility criteria, will be considered under this scheme.
- 2.6 It is proposed to extend uniform demand incentive @ INR 10,000/- per KWh for all e-vehicles (including PHEV and Strong Hybrid) except e-Buses.
- 2.7 For buses, uniform maximum demand incentive @ INR 20,000/- per KWh is proposed, which is further subject to competitive bidding amongst the OEMs.
- 2.8 Demand incentives for e-buses will be provided only on operational model (OPEX) and will be delivered though State/City Transport Corporations (STUs).
- 2.9 The proposed Demand incentives would be reviewed annually or earlier by the Project Implementation & Sanctioning Committee (PISC).
- 2.10 It is proposed to restrict Demand incentives to vehicles with ex-factory price less than a particular threshold value as defined in the Annexure 2 of scheme notification.
- 2.11 The Demand Incentive shall be available for buyers (end users/consumers) in the form of an upfront-reduced purchase price to enable wider adoption, which will be reimbursed to OEM by Govt. of India.
- 2.12 For individual beneficiaries, not more than one vehicle of particular categories will be incentivized.
- 2.13 All models eligible for demand incentives will be eligible for three year comprehensive warranty including Battery.
- 2.14 All vehicle models approved under FAME India scheme Phase II, will have to undergo Conformity of Production COP test for all the eligibility parameters by recognized testing agencies at least once a year.
- 2.15 FAME-II certificate will be valid for one year (April to March).
- 2.16 All vehicle models approved under this Scheme, will have to submit revalidation certification to DHI/NAB at the frequency of one year as per clause 2.14 & 2.15 above, complying to the FAME India Phase II eligibility parameters. Such OEM will proceed for re-validation after ensuring the compliance to the latest notifications as per CMVR, 1989, by testing agencies recognized under rule 126 of CMV, 1989 and Administrative procedure as per FAME India Scheme Phase-II document.

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3.0 REGISTRATION OF OEMs

- 3.1 For the purpose of this Scheme, an OEM (Original Equipment Manufacturer) may be defined as Proprietor, Private/Public Company or Partnership Firm who are manufacturing any of the Vehicle(s) covered under FAME-India Scheme (Phase-II) and have achieved certain percentage of localization, declared / informed separately.
- 3.2 For availing benefit under this Scheme, each OEM (Original Equipment Manufacturer), shall apply for Registration to Department of Heavy Industry (National Automotive Board) in the prescribed proforma as per ANNEXURE-A.
- 3.3 At the time of submission of Application, each OEM is required to submit the following documents:
 - i. Application for manufacturer registration in ANNEXURE-A
 - ii. Company Registration Certificate
 - iii. Trade License
 - iv. GST Registration Certificate
 - v. PAN Card
 - vi. Testing/Homologation Certificate complying with FAME-II eligibility criteria issued by designated testing agency under rule 126 of CMVR, 1989, including quality certificate, technical parameters or any other parameter issued under FAME-India Guidelines
 - vii. Pre-registration of xEV model as per ANNEXURE-B
 - viii. Complete set of MOA & Rules of OEM
 - ix. List of at least 25 vehicle dealers and service centers along with their searchable addresses / locations and contact number to be situated at least in two states
 - x. Photographs of the type approved Vehicle
- 3.4 OEM is required to submit Hard Copy of the Application (with complete documents, as per clause 3.3. above) at the following Address:

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Shri Anand Kumar Singh,

Director (Auto)

Room No. 275-E, Udyog Bhavan,

New Delhi-110011.

- 3.5 After receipt of the complete Application (with complete documents, as per clause 3.3. above), DHI/NAB will process the same as per Scheme Guidelines and if found in order, confirmation of registration of OEM under FAME-India Scheme (Phase-II) will be conveyed to the respective OEM.
- 3.6 Demand incentive under the scheme shall be admissible only from the date of registration of OEM and date of approval of Model(s) by DHI/NAB under FAME-India Scheme (Phase-II), whichever is later.
- 3.7 Those OEMs who are already registered under Phase-I of FAME-India Scheme are also required to submit fresh applications (with complete documents, as per clause 3.3. above) for registration of OEM and also for Vehicle Model(s) for claim of incentive under FAME-India Scheme (Phase-II);

4.0 Guidelines for OEMs to be followed at the time of sale of vehicle:

- 4.1 Each OEM is required to get its model certified from recognized testing agencies under rule 126 of CMVR, 1989, for its eligibility under FAME India Scheme Phase-II.
- 4.2 Once model is certified, it will be approved by DHI/NAB on online platform, with which, incentive amount entitled for particular model will be decided.
- 4.3 Once model is approved by DHI NAB, OEM is required to upload production data of all vehicles for which they intend to take benefit of demand incentives under the scheme.
- 4.4 After uploading of production data which will necessarily contain details like chassis number, Unique battery number, color etc.; OEM may dispatched the vehicles to their distributors after proper billing / invoice.
- 4.5 Each OEM has to ensure that eligible demand incentive should be deducted at last after all taxes and resulting invoice amount should only be charged from dealer. It is expected that financial cost of amount of demand incentive to be borne by OEM and not by dealer or consumer.
- 4.6 OEM to submit the reimbursement claim of demand incentives once in a month but not later from 120 days of the sale of vehicle at dealer end.
- 4.7 After submitting the claim for reimbursement, each OEM to submit an undertaking in the prescribed proforma as annexed at **Annexure C**, on the letterhead of the OEM and duly signed by the authorized signatory and should be furnished to the DHI/ NAB by email.

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5.0 Guidelines for Testing Agencies:

- 5.1 All testing agencies as notified under rule 126 of CMVR, 1989 are entitled to test and certify the e-vehicles for FAME India Phase II eligibility parameters provided they have requisite testing infrastructure required for testing of and Hybrid Vehicles.
- 5.2 Each OEM, which want to test its vehicle for FAME II eligibility, will send vehicle to testing agencies along with required details as required by testing agencies for satisfactory testing of vehicles.
- 5.3 After receipt of vehicle from OEM, each testing agency is expected to first type approve the vehicle as per AIS standards notified from time to time.
- 5.4 After vehicle is type approved under CMVR, same vehicle may be tested as per eligibility criteria for FAME India Phase-II.
- 5.5 Since under FAME India Phase-II, incentive is based on Battery energy capacity, all testing agencies are expected to take precaution in measurement of battery energy capacity.
- 5.6 After completion of testing, testing agency is expected to issue necessary certificates of eligibility under FAME India Scheme Phase II, which will be uploaded by the OEM and thereafter verified by the respective testing agency.

6.0 Guidelines for Dealers to be followed at the time of sale of vehicle:

- 6.1 Each dealer at the time of sale of vehicle to the end user will collect the following information from the purchaser and keep all concerned documents safely for atleast 5 years from the date of sale:
 - 1. Personal Identification proof like:
 - a. For all individual case, Aadhaar Card failing which other methods of personal identification may be used like PAN card, Driving License etc.
 - b. PAN Card in respect of Proprietary firms/agencies
 - c. PAN Card in respect of Corporate and partnership firms/agencies
 - d. In respect of Central/State Govt. Departments/Defence supply, the purchase order from the respective Departments/Organizations etc.

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- 2. Mobile Number and Email lD of individual purchaser or representative of purchaser in case of non-Individual cases.
- 3. The Registration number of all vehicles.
- 4. For e-3W and e-4W, valid permit from respective Govt agency stating that vehicle will be used for public transport or for commercial transport only.
- Dealers will ensure to prepare invoice of the sale on same date and also upload the data on online platform on same date.
- 6.3 Dealer will have to ensure correctness of mobile number of purchaser and email id before effecting the sale.
- 6.4 Dealer to ensure that demand incentive should not be passed to private individuals using vehicle for private use in case of e3W and e4W. However dealer can claim incentives for sale of e2W to private individuals.
- 6.5 Dealer should ensure that only one vehicle per category per person are allowed to claim demand incentives. i.e. No individual person can purchase more than one vehicle of same category and claim incentives under the scheme. However, there will be no restrictions for number of vehicles to be purchased by other than individual categories of buyer.
- 6.6 Dealer should upload the data on daily basis and submit reimbursement claim to OEM only once in a month.
- 6.7 Dealer should submit the reimbursement claim within a period of 90 days from the date of sale of vehicle to their OEMs.
- 6.8 Dealer should obtained a declaration from the customer stating that they have purchased the vehicle and entitled incentives have been received by the customer. Prescribed format for such customer acknowledgement is attached at **Annexure D**. This customer acknowledgement form should be uploaded by the dealer while uploading the data of customer on the online platform.

7.0 Billing Mechanism for Sale of Vehicle to be followed by each OEM and its dealer(s)

- 7.1 All OEMs and its dealers will ensure that demand incentive under the Scheme should not adversely affect taxes at any stage.
- 7.2 Each OEM at the time of billing to their dealers will deduct corresponding incentive at the end from the total price of vehicle, which will be arrived after including all GST/taxes etc. at prescribed rate on total cost of the vehicle.

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7.3 Similarly, each dealer will consider cost to the dealer as total cost arrived just before deducting the incentive by the OEM and use this cost for arriving total cost to the customer. After that dealer will pass on corresponding incentive, he received from OEM to customer/end user at the time of sale of vehicle.

8.0 Powers of DHI To Frame Guidelines/Procedure:

- 8.1 DHI/NAB shall have full powers to frame/lay-down the Guidelines/ Procedure or alter/amend/add/delete any terms & conditions etc. under this Scheme/guidelines;
- 8.2 DHI/NAB shall have the powers to call for any information/data/records etc., in connection with this Scheme or inspect such records/documents [manual or electronic] as it may deem necessary;
- 8.3 DHI/NAB shall have the powers to depute its representative(s) to visit the premises/office/centre/work place etc. for purpose of inspection and verification purposes and may pass such order or issue directions in relation thereto as it may deem fit;
- 8.4 All operational issues in the implementation of the Scheme and guidelines shall be resolved by DHI/NAB appropriately;

9.0 Resolutions of Disputes:

Any dispute shall be resolved by mutual discussion and reconciliation. In case of difference of opinion, decision of Joint Secretary (Auto), Department of Heavy Industry shall be final.

Concluded.

Manufacturer Registration Form

To,

The Director
Department of Heavy Industry
Ministry of Heavy Industry & Public Enterprises
Udyog Bhawan
New Delhi -110011.

Subject: Application for Manufacturer registration under the FAME-India Scheme.

D	ear	Si	r

We,-----(Name of Manufacturer) are Original Equipment Manufacturer (OEM) intend to manufacturer xEV and wish to avail the benefits offered under FAME India Scheme Phase-II, as notified vide Gazette Notification S.O. No 1300 (E) dated 8^{th} March 2019 and are willing to avail incentives under this scheme.

We, therefore, apply for formal registration with DHI for the scheme namely FAME-India scheme Phase II.

We furnish requisite information/documents.

A. Manufacturer information:

SI. No.	Particulars	Provide Details	Self-attested copy of relevant documents attached (Y/N/NA)
1.	Name of OEM		
2.	Type of OEM a. Proprietorship or b. Partnership or c. Company		,
3.	Company Registration Certificate No. (if applicable)		
4.	GST Registration applicable (Yes/No)		
5.	GST Registration Number		

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6.	PAN Card Number	
7.	Details of R&D Facilities like total annual expenditure on R&D, No of employees, total patent if any, whether R&D facility recognized by any Govt agency etc.	To be attached in a separate sheet.
8.	Annual Turnover	
9.	MOA & Rules /Partnership Deed	Please attach/upload document along with application
10.	Registered Office (i) Address, (ii) Phone number, (iii) Fax Number; and (iv) E-mail ID	
11.	Manufacturing Plant (s) (i) Address (s), (ii) Phone numbers (Please specify addresses of all plants	1. 2. 3.
	manufacturing xEVs)	
12.	i. Name of the Authorized Personii. Postal Addressiii. Telephone/Mobile No; andiv. Email ID	
13.	Bank Details	Account Holder Name:
		Name of Bank:
		Name of Branch:
		Account No:
		Account Type (SAVING or CURRENT):
		IFSE Code:
		MICR Code
14.	No of Service Stations*	Minimum 25
		List and addresses of each service station to be submitted in separate sheet.
		May 12 (

15.	Manufacturer code	
	(To be allocated by online mechanism under FAME Scheme Phase II)	
16.	Total annual capacity on the date of application for each category of vehicles.	

B. Declaration & Undertaking:

We declare that information provided here—in–above are correct and true to the best of our knowledge and also we undertake to abide by all terms and conditions of the scheme as well as the instructions/guidelines/decisions to be issued/taken by DHI from time to time with respect to the scheme.

Thanking you,

Yours sincerely,

(Signatures of Authorized Signatory)
Name & Designation
Manufacturer Name

(Affix the official SEAL)

Date:

Place:

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Manufacturer Pre-Registration Form

 $xEV\ Model\ Information\ to\ be\ submitted\ by\ Manufacturer$ for Pre-Registration with DHI/NAB and submission to Test Agency for Certification

Sl.	Particulars	OEM Inputs	Remarks
No.			
1.	Manufacturer Name		
2.	OEM Code (if already allocated by DHI/NAB)		
3.	xEV Model Name		
4.	Variant (s) Name	A.	
		B.	
		C.	
		D.	
5.	Vehicle Category (as per CMVR)		
6.	Technology Type		
7.	Fuel Type - Gasoline/Diesel/CNG/LPG		
	(for hybrid vehicles)		
8.	Battery Type/Chemistry and other Battery Parameters		
9.	Battery Power in KWh		-
10.	Number of batteries, such as one, two, three		
	(If there are more than one battery then all batteries should compulsory be required to run the vehicle)		
11.	Ex-factory price of the vehicle		
12.	Meeting xEV Technology Functions (Yes/No)	Please attach/upload document along with application	Y
13.	Meeting Qualifying Targets (Yes/No)		
14.	Estimated Incentive Amount		

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15.	Name of test agency where vehicle type approval is planned
16.	Date of vehicle submission to test agency for type approval
17.	xEV Model Code (To be allocated by DHI/NAB System)
	 a) In the Field 5: Specify vehicle category as per CMVR-L1/L2/M1 etc. b) In the Field 6: Specify the "xEV Technology Type": Strong Hybrid or BEV c) In the Field 7: Specify the Fuel Type: Gasoline/Diesel/CNG/LPG (for hybrid vehicles) d) In the Field 8: Specify the Battery Type: Advanced Type, chemistry etc. e) In the Field 12: Specify if the said xEV model meets the required Technology Functions (in yes or no). f) In the Field 13: Specify if the said xEV model qualifies the targets (in yes or no).

We declare that the above information relating to the xEV model is in accordance with the guidelines of scheme namely FAME-India Scheme Phase II of Government of India. The above information is as per our internal assessment and the same may be further validated by a test agency.

(Signatures of Authorized Signatory)
Name & Designation
Manufacturer Name

(Affix the official SEAL)

Date: Place:

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UNDERTAKING to be submitted by Manufacturers (OEMs) at the time of submitting claims for reimbursement of Demand Incentives from, Department of Heavy Industry

(Draft integrity certificate to be provided/ (uploaded along with claims) (on the company letter head)

UNDERTAKING

hybrid of Rs Depart model	I,(name of sentative/signatory of M/s(name of d/electric vehicles certify that the Claim No	dated for total amount website www.FAMEINDIA-2.gov.in of numbers of vehicles of different the provisions of Department of Heavy
2.	It is further certified that –	
	a. the incentive amount claimed for each customer and the amount of said incenti	vehicle has been duly passed on to the ve is as per the approved guidelines.
	b. no double or multiple claim has been ma	de for the same vehicle transaction.
3. repres this ur	I, (name of sentative/signatory of M/s (name of m/s	authorised person) authorized (name of OEM), am entitled to sign
		Signatures(Name of authorized representative) Phone/Mobile No: E-mail id: Seal
Date: Place:		
То	The Director Department of Heavy Industry Udyog Bhavan, New Delhi-110011.	Janes 1

Customer Acknowledgement & Dealer Verification Form

(A) - CUSTOMER ACKNOWLEDGEMENT

I/We hereby undertake that I /my organization as given below have purchased _____ no of vehicle (only one for individual) details of which are given below under FAME India Phase-II Scheme of Government of India and the benefit of admissible incentive amount has/have been received, by way upfront reduction in the cost of vehicle as reflected in the dealer's invoice.

Information about Vehicle:

S.No.	Particular	Provide Details
1	Name of the Manufacturer	
2	Dealer Name*	
3	Vehicle Model*	
4	Model Variant*	
5	Chassis No.*	
6	Dealer Invoice No.*	
7	Dealer Invoice Amount*	
8	Incentive Amount adjusted in purchase	
9	Total Number of Vehicles purchased	
10	Vehicle Unique Identification Number	1
		2

Information about Customer

Customer Name*
Customer Address*
Customer ID Proof i.e. Aadhaar Card, PAN Card or
Purchase Order
Customer Address Proof
Customer Email ID*
Customer Mobile Number*
Date of purchase of EV earlier by the Customer, if any
Whether single purchase OR bulk purchase
Indicate purpose, in case of bulk purchase

I/We hereby certify that the Mobile Number given above is functional and is in my name/in name of my family member.

(B)- DEALER VERIFICATION:

••••••		Manufacturer		-	Authorized ale of above sa		
Scheme	(Rupe	es	mber of ve	only) chicles ha	benefit on account s actually bee	of FAME-	
	e also verifi to be corre		ress proof a	and Mobile	Number of the	e purchase	r and
				(Signa	ture of Author	rized Signa	tory)
					Name	e & Design	ation
					Dealer Nan	ne & Mobil	e No.
Date:							
Place:							
						/ .	